

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF NEW YORK

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BENJAMIN HORTON,

Plaintiff,

9:22-CV-0906  
(BKS/CFH)

v.

STATE OF NEW YORK DEPARTMENT  
OF CORRECTIONS,

Defendant.

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APPEARANCES:

BENJAMIN HORTON  
16-A-3539  
Plaintiff, pro se  
Clinton Correctional Facility  
P.O. Box 2000  
Dannemora, NY 12929

BRENDA K. SANNES  
Chief United States District Judge

**DECISION and ORDER**

**I. INTRODUCTION**

On August 31, 2022, plaintiff Benjamin Horton ("plaintiff") commenced this action pro se by filing a Complaint. Dkt. No. 1. On September 2, 2022, the Court issued an Order closing this action due to plaintiff's failure to comply with the filing fee requirements. Dkt. No. 2 (the "September Order"). Plaintiff was advised that if he desired to pursue this action he must so notify the Court and either (1) pay the filing fee of \$402.00 in full, or (2) submit a completed, signed and properly certified application to proceed in forma pauperis ("IFP Application") and signed Inmate Authorization Form within thirty (30) days of the filing date of

that Order. *See id.* On September 19, 2022, plaintiff filed an IFP Application. Dkt. No. 3.

## II. DISCUSSION

A civil action is commenced in federal district court "by filing a complaint." Fed. R. Civ. P. 3. The filing fees must be paid at the time an action is commenced, unless an IFP Application is submitted to the Court. *See* 28 U.S.C. §§ 1914(a), 1915(a). The federal statute governing applications to proceed in forma pauperis in federal court, the Prison Litigation Reform Act ("PLRA"), 28 U.S.C. § 1915, provides in pertinent part that an IFP Application must be accompanied by "a certified copy of the trust fund account statement (or institutional equivalent) for the prisoner for the 6-month period immediately preceding the filing of the complaint or notice of appeal, obtained from the appropriate official of each prison at which the prisoner is or was confined." 28 U.S.C. § 1915(a)(2). In accordance with Local Rule 5.1.4 of the Local Rules of Practice for the Northern District of New York ("N.D.N.Y.L.R."), a prisoner seeking in forma pauperis status in a civil action subject to the PLRA may satisfy this requirement by submitting a completed, signed, and certified IFP Application as well as the authorization form issued by the Clerk's Office. N.D.N.Y.L.R. 5.1.4(b)(1)(A) and (B).<sup>1</sup> Local Rule 5.1.4 further provides that if the prisoner fails to fully comply with the above-described requirements after being informed by Court order of what is required, "the Court shall dismiss the action." N.D.N.Y.L.R. 5.1.4(b)(2)(A).<sup>2</sup>

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<sup>1</sup> A "certified" IFP Application is one on which the Certificate portion at the bottom of page two of the form IFP Application has been completed and signed by an appropriate official at the plaintiff's facility. The Certificate portion of the IFP Application requests information regarding funds and/or securities held on account to the inmate's credit over the preceding six months.

<sup>2</sup> Upon compliance with the filing fee requirements, the Court must consider Plaintiff's IFP Application in light of the three-strikes provision of 28 U.S.C. § 1915(g) and, if appropriate, review the Complaint in accordance with 28 U.S.C. § 1915(e) and/or 28 U.S.C. § 1915A.

Upon review, the Court finds that the IFP Application was completed, signed by plaintiff, and certified by an authorized officer of Clinton Correctional Facility. See Dkt. No. 3. However, plaintiff has not provided the Court with the inmate authorization form issued by the Clerk's Office pursuant to the Local Rules of Practice of this District. See L.R. 5.1.4(b)(1)(B). Therefore, the Court hereby orders plaintiff to submit either the full filing fee or the attached authorization form.

In light of plaintiff's pro se status, the Court will afford him a **final** opportunity to comply with the filing fee requirements for this action.<sup>3</sup> If plaintiff fails to timely comply, this action will be dismissed without prejudice without further Order of the Court.

### III. CONCLUSION

**WHEREFORE**, it is hereby

**ORDERED** that plaintiff must, **within thirty (30) days** of the filing date of this Decision and Order, either (1) pay the \$402.00 filing fee in full, or (2) provide the Clerk with a signed authorization form consenting to pay the full filing fee in installments as directed herein; and it is further

**ORDERED** that in the event plaintiff fails to timely comply with the filing fee requirements set forth in this Decision and Order, this action will be **dismissed without prejudice without further Order of this Court**; and it is further

**ORDERED** that upon plaintiff's compliance with this Decision and Order, the file shall be returned to the Court for further review; and it is further


**ORDERED** that the Clerk shall serve a copy of this Decision and Order on plaintiff

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<sup>3</sup> Plaintiff's IFP Application (Dkt. No. 3) is held in abeyance for thirty days.

together with a blank Inmate Authorization Form.

Dated: October 3, 2022  
Syracuse, New York

  
Brenda K. Sannes  
Chief U.S. District Judge